

Proceedings of the IX International Conference on Thermoelectrics (USA)  
(JPL Document # D-7749), p. 249 (1990).

## SILICIDES AS PROMISING THERMOELECTRIC MATERIALS

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### ABSTRACT

In conventional thermoelectric materials ( $\text{Bi}_2\text{Te}_3$ ,  $\text{PbTe}$ ,  $\text{SiGe}$ ), the conduction and valence bands are derived from s and p orbitals. But most thermocouple wire materials (chromel, alumel, WRe, PtRh) involve alloys with partially filled d-bands, resulting in Seebeck values much larger than for metals with filled or empty d-bands (such as Cu or Na, respectively). Semiconductors for which the valence and/or conduction band are dominated by d-band character may be able to combine the high Seebeck values typical of transition metal alloys, with the ability to achieve optimum doping levels typical of conventional thermoelectric materials. Certain metal-silicon compounds appear to have this desirable combination of properties. In this paper, compounds of silicon with elements from groups 1 through 8, including the d-band elements, are reviewed for their potential as high figure of merit (ZT) thermoelectric materials. A number of materials are identified which appear to have the potential for ZT values much greater than  $ZT \approx 1$  typical of current state of the art materials.

### I. INTRODUCTION

The theory of thermoelectricity indicates thermoelectric energy conversion systems can, in principle, approach the maximum efficiency permitted by thermodynamics (ie the Carnot efficiency), which is about 55% for current radioisotope thermoelectric generator (RTG) heat sources and heat rejection systems. But even after 30 years of space experience with this technology, efficiencies remain relatively low, 6-7% for RTG's (Skrabek, 1990; Schock 1990) using silicon-germanium ( $\text{SiGe}$ ) alloys developed in the 1960's (Dismukes, 1964). The room for growth is therefore quite large, almost an order of magnitude in efficiency.

The efficiency of current thermoelectric devices can be dramatically enhanced by the development of advanced thermoelectric materials. The optimum efficiency of a thermoelectric device is

$$\eta_{\text{opt}} = \left[ \frac{\sqrt{ZT + 1} - 1}{\sqrt{ZT + 1} + 1} \right] \frac{T_h - T_c}{T_h},$$

which is exact in the limit of small  $T_h - T_c$  and approaches the Carnot limit as  $ZT \rightarrow \infty$ . Here, ZT is the usual dimensionless figure of merit

$$ZT = \sigma S^2 T / \lambda,$$

where  $\sigma$  is the electrical conductivity,  $S$  is the Seebeck coefficient and  $\lambda$  is the thermal conductivity.

A variety of theoretical methods are available for calculating the thermoelectric properties of semiconductors which can estimate optimized  $ZT$  of a given material, provided experimental estimates various material parameters are available. Any particular material then, does have a maximum  $ZT$ , as determined by the parameters for material. But theory predicts that some materials should have  $ZT$  values much higher than have been achieved to date.

As an example, consider a material for which the effects of minority electrical carriers and heat conduction by the lattice are neglected. In this case the figure of merit becomes

$$ZT = S^2 / \mathcal{L},$$

where  $\mathcal{L}$  is the Lorenz number. But, the Seebeck coefficient can be increased without limit (in this case) by simply lowering the carrier concentration. Seebeck values of 1000  $\mu\text{V}/\text{K}$  or larger are often attained poor electrical conductors, which yields  $ZT = 68!$  And yet  $ZT = 1$  has never been exceeded by much, and certainly  $ZT=2$  or more has not been reached.

Since theory places no upper limit on  $ZT$ , the question arises: where are they? Indeed, so long as  $ZT$  values of the best known thermoelectric materials remains near  $ZT \cong 1$ , a serious question arises as to whether some as-yet unstated physical law actually prevents much higher values. The development of a material with much higher figure of merit, say  $ZT = 3$  or more, would go a long way toward settling this concern.

Much smaller improvements, however, would still be of immense technological value. The cost of safe manufacture and deployment nuclear power supplies remains very high, so improvements in the conversion efficiency (without sacrificing lifetime, reliability, versatility) result in large savings. Moreover, for many space applications there is no practical alternative to the use of nuclear energy as the primary power source. Multi-year planetary exploration spacecraft such as the Voyager's and the recently launched Galileo would not be possible without the radioisotope heat sources on board. Long term lunar exploration also generally requires nuclear heat sources to provide power during the two week lunar night. And, as power demands grow, radioisotope thermoelectric generators (RTG's) nuclear reactors, such as the current SP-100 development program (Mondt, 1989), will increasingly need to be lighter and cheaper.

Thus, the experimental and theoretical search for improved thermoelectric materials serves not only to enhance the performance of proven thermoelectric technology, possibly by a large margin, but at the same time may significantly advance the fundamental understanding of electrical and thermal transport in solids.

This paper examines the possibility of attaining much higher conversion efficiencies using silicides as thermoelectric materials. Section II discusses the properties desired in a thermoelectric material. Section III reviews the properties of silicides as they pertain to thermoelectric applications and section IV summarizes the results of this survey.

## PROPERTIES FOR A THERMOELECTRIC MATERIAL

Characteristics to be considered in the search for new thermoelectric materials include those needed: 1) to achieve high efficiency and 2) to use the materials in applications. When establishing selection criteria, care must be taken to avoid excluding promising materials by using excessively narrow selection criteria. Selection criteria must be sufficiently definite to limit the number of candidate materials. Principles for achieving a high figure of merit are given by Ioffe (Ioffe, 1957) for a standard band-type material:

The maximum value of  $A' = m_*^{3/2} \mu / \lambda_l$  where  $m_*$  is the carrier effective mass,  $\mu$  is the mobility in  $\text{cm}^2/\text{V-s}$  and  $\lambda_l$  is the lattice thermal conductivity in  $\text{mW/cm-K}$ , is achieved for a band gap,  $E_g$ , greater than about  $4k_B T$ , and is applicable to the extrinsic regime.

The simple model for properties represented by the factor  $A'$  is based on the single band model for semiconductors. Actually, there are a number of additional factors, but  $A'$  captures the essential features required. Moreover, the parameters are determined with a minimum of experimental information and are useful as a screening tool, at least for conventional semiconductors.

Efficiency may be improved by increasing the effective carrier concentration, the mobility and/or reducing the lattice thermal conductivity. The large band gap requirement (2) stems from the need to avoid the thermal excitation of minority carriers which increases the Seebeck coefficient and increases the thermal conductivity. The large band gap requirement stems from the need to be able to exploit optimum doping levels.

Principles for using a material in a device depend on the specific application. However, some general criteria are:

1) Chemical, structural and thermal stability,  
2) Ability to form sound electrical and thermal contacts,  
3) Manufacturable in suitable quality and quantity.

Often there is some trade off between these two types of requirements. In some cases, even very substantial applications can be achieved with sufficient effort. On the other hand, a material with a low ZT may be useful if the material is cheap and abundant.

For space power applications, state of the art SiGe materials are among the best known and are excellently suited for applications. For n-type SiGe at 1000 K, using parameters from the model for the figure of merit (Vining, 1990) ( $m_* = 0.1$ ,  $\mu = 2.6$  and  $ZT_{\text{max}} \cong 1$ ). The success of SiGe for

space power applications can be best summarized by noting that the Voyager spacecrafts have been operating flawlessly for over 12 years.

this technology. This combination of reliability and performance will be difficult to replace.

### III. PROPERTIES OF METAL SILICIDES

In this section each of the metal-silicon systems from the first ten columns of the periodic table will be reviewed. In many cases sufficient transport data are available to estimate  $A'$  for the silicides and these data are summarized in Table 1. The number of possible doping and alloying combinations, however is very large. This, combined with the technical difficulties of preparing and characterizing high quality samples of refractory materials, has meant that little or no data are available for a great many promising materials.

Each of the silicides of the metals from groups 1 through 8 will be examined in turn.

Table 1: Thermoelectric parameters of silicides at 300 K

Material Name	Melting Point K	Type	$m_e$	$\mu$ cm <sup>2</sup> /V-s	$k_2$ mW/cm-K	$A'$	$ZT_{max}$	$E_g$ eV	Ref.
(Si,Ge)	1550	n	1.4	68	44	2.6	1.0	0.7	a, b
(Si,Ge)	1550	p	1*	40	44	0.9	0.7	0.7	a
Mg <sub>2</sub> Si	1300	n	1*	-	-	14.	0.8	0.7	c, d
BaSi <sub>2</sub>	1450	p			16		0.2	0.48	e, f
CrSi <sub>2</sub>	1763	p	5	9.2	68	1.5	-	0.35	g, h, i
MnSi <sub>1.73</sub>	1550	p	1*	40	29	1.4	0.7	0.67	j, k, l, m
ReSi <sub>2</sub>	2250	p	1*	105	55	1.9	-	0.12	n, o
FeSi <sub>2</sub>	1255	p	4	4	40	0.8	0.2	0.9	p
FeSi <sub>2</sub>	1255	n	1*	2	40	0.05	0.4	0.9	q
Ru <sub>2</sub> Si <sub>3</sub>	1970	p	7.5	7.3	12	12.6	-	0.9	This Study
Ru <sub>2</sub> Si <sub>3</sub>	1970	n	3.9	0.54	12	0.35	-	0.9	This Study

<sup>a</sup> Dismukes, 1964.	<sup>g</sup> Shinoda, 1964.	<sup>m</sup> Korshunov, 1964.
<sup>b</sup> Vining, 1990.	<sup>h</sup> Nikitin, 1961.	<sup>n</sup> Neshpor, 1960.
<sup>c</sup> Nicolaou, 1976.	<sup>i</sup> Nishida, 1972.	<sup>o</sup> Neshpor, 1966.
<sup>d</sup> Marchuk, 1989.	<sup>j</sup> Bienert, 1966.	<sup>p</sup> Birkholz, 1989.
<sup>e</sup> Samsonov, 1980.	<sup>k</sup> Bienert, 1968.	<sup>q</sup> Hesse, 1969.
<sup>f</sup> Spitzer, 1970.	<sup>l</sup> Vedernikov, 1988.	*Ad hoc estimate.

### ALKALI AND ALKALINE EARTH SILICIDES

Many of the alkali and alkaline earth silicides and germanides are known to be semiconductors. Several of the alkali mono-silicides

and mono-germanides (NaSi, NaGe, KGe), are semiconductors with band gaps near 0.5 - 1 eV (Tegze, 1989), but little else is known about them. Notable are  $Mg_2Si$ ,  $Ca_2Si$ ,  $Sr_2Si$  and their germanide and antimonide analogs. Based on the known semiconducting properties of these materials (Samsonov, 1980), Nicolaou has predicted  $ZT \approx 3$  or larger (Nicolaou, 1976) for certain solid solutions. Recently, 0.8 has been reported for  $Mg_2Si-Mg_2Sn$  solid solutions (Marchuk, 1980). Although no estimate is available for the effective mass in these materials, Marchuk reports  $\mu/\lambda_2$  values which, using  $m_*/m_e = 1$ , yield the extraordinary value of  $A' = 14$ . The large values of  $A'$  and band gap (0.7 eV), combined with the ability to alloy these materials are all excellent indications that even higher  $ZT$  values will be achieved with further development.

$BaSi_2$ , with  $E_g \approx 0.48$  eV (Samsonov, 1980), has been reported to have  $S^2\sigma \approx 1.0 \mu W/K^2$ -cm for a sample with  $S = +600 \mu V/K$ . Assuming acoustic phonon scattering and a constant mobility, an optimum factor of  $S^2\sigma \approx 11 \mu W/K^2$ -cm is estimated for  $S = 172 \mu V/K$ . Combined with  $k_2 = 16$  mW/cm-K (Spitzer, 1970) yields  $ZT = 0.2$  at 300 K.

Optimized doping and alloying, this material may also be of interest. For all the alkali and alkaline earth compounds, however, consistency handling difficulties can be anticipated due to chemical reactivity and low melting point eutectic compositions.

#### RARE EARTH SILICIDES

All of the rare earth elements (Sc, Y, La-Lu) form metallic silicides, with the possible exception of  $\alpha-LaSi_2$  which may be described as a small band gap, 0.19 eV (Samsonov, 1980), n-type semiconductor. The binary rare earth-silicon compounds, therefore, are of little interest for thermoelectric applications.

#### GROUP IVB AND VB SILICIDES

The group IVB (Ti, Zr, and Hf) and VB (V, Nb, and Ta) elements all form metallic disilicides. It is worth pointing out, however, that the crystal structure of  $TiSi_2$  (Jeitschko, 1977) is the prototype of a large family of materials (Nowotny, 1970) known as Nowotny chimney-ladder (Pearson, 1970) compounds. The unit cell in this family consists of a chimney-ladder of sub cells, each of which is itself similar to the  $TiSi_2$  unit cell. The metal atoms occupy nearly all the interstitial sites, similar to the  $\beta-Sn$  structure type, while the silicon atoms occupy sites which vary slightly from subcell to subcell.

An interesting characteristic of the Nowotny chimney-ladder compounds is the "magic" number of 14 valence electrons per metal atom (VEC = 14), a remarkably predictive rule for the occurrence of a band gap in these materials (Nowotny, 1970). 14 valence electrons are enough to fill the 4 s-p type bonding states of the  $\beta-Sn$  structure type, plus 10 more to fill all of the d-states for each metal atom. In violation of this rule,  $TiSi_2$  with only VEC = 12, has the Fermi level deep in the d-states and the material is a metal, as is observed.

## Cr, Mo, AND W SILICIDES

The group VIB elements (Cr, Mo, and W) finally have enough valence electrons to provide the "magic" number of VEC = 14 for the disilicides. Although not strictly a member of the Nowotny chimney-ladder structure type,  $\text{CrSi}_2$  is a semiconductor, consistent with the "magic" number of VEC = 14, and has a reasonably large  $A'$  value of 1.5, which compares well to the value for SiGe (see Table 1).

While the value of  $A'$  may be further increased by lowering  $\lambda_2$  through alloying (Nikitin, 1961; Neshpor, 1965),  $\text{CrSi}_2$  is intrinsic at high temperatures due to the small band gap, 0.35 eV (Nishida, 1972), and is therefore of little interest for high temperature thermoelectric applications.

$\alpha\text{-MoSi}_2$  and  $\text{WSi}_2$  are p-type semi-metals with relatively low carrier concentrations ( $4.0$  and  $7.5 \times 10^{21} \text{ cm}^{-3}$ , respectively) and high mobilities ( $59$  and  $67 \text{ cm}^2/\text{V-s}$ , respectively) (Neshpor, 1960). In this case it seems the "magic" number of VEC = 14 was not sufficient to actually create a band-gap, but instead resulted in a reduced density of states at the Fermi-level and a small number of carriers. Any of the compounds  $\text{CrSi}_2$ ,  $\text{MoSi}_2$  and  $\text{WSi}_2$  might be made into useful thermoelectrics, if only they exhibited larger band gaps.

## Mn AND Re SILICIDES

$\text{MnSi}$  (Samsonov, 1980; Mayer, 1960; Nikitin, 1958; Spitzer, 1970) as well as the higher manganese silicides (Samsonov, 1980; Neshpor, 1960; Mayer, 1960; Nikitin, 1958; Neshpor, 1960; Bienert, 1968; Bienert, 1966; Vedernikov, 1988; Korshunov, 1964) are of some interest as thermoelectrics. While the monosilicide probably has too small a band gap to be useful at high temperatures, the higher silicides have ZT values up to 0.8-0.9 (Bienert, 1966; Vedernikov, 1988; Korshunov, 1964). Using  $m_e/m_h = 1$  for holes, we estimate  $A' = 1.4$ , which again compares well to SiGe.

Early work was uncertain as to the precise composition of the material. It is now known that the higher manganese silicides actually form with a variety of compositions such as  $\text{Mn}_{11}\text{Si}_{19}$ ,  $\text{Mn}_{15}\text{Si}_{26}$ ,  $\text{Mn}_{27}\text{Si}_{47}$  (Zwilling, 1974) and probably others, each of which is a Nowotny chimney-ladder compound with VEC near 14. Al substitutions result in the ternary compound  $\text{MnAl}_{0.75}\text{Si}_{1.25}$  which exhibits opposite signs for the Hall and Seebeck coefficients, explained by an unusual band structure (Fedorov, 1989). Clearly, much remains to be done in this system and even higher ZT values can be expected with proper development.

$\text{ReSi}_2$ , like  $\text{CrSi}_2$ , has a favorable  $A' = 1.9$  (again assuming  $m_e/m_h = 1$ ), but has too small a band gap (0.12 eV) to be useful (see Table 1).

## Fe, Ru, AND Os SILICIDES

$\beta$ -FeSi<sub>2</sub> is a useful, inexpensive thermoelectric material currently under development for use in automobiles and as a source of small amounts of emergency power. Birkholz has reviewed the properties of  $\beta$ -FeSi<sub>2</sub> which are summarized in Table 1. The ease of compensation in some applications for the relatively low value of  $A' = 0.05$  n-type  $\beta$ -FeSi<sub>2</sub> is fairly substantial.  $ZT = 0.4$  by analysis of both electrical (Birkholz, 1969) and optical properties (Birkholz, 1970). The conduction mechanism is by small polaron hopping. The parameter  $A'$  may still be of some predictive value, readily compared to conventional band-type compounds.

The compounds Ru<sub>2</sub>Si<sub>3</sub>, Os<sub>2</sub>Si<sub>3</sub> and Os<sub>2</sub>Ge<sub>3</sub> are chimney-ladder compounds, with structures similar to those of Ru<sub>2</sub>Si<sub>3</sub> (Poutcharovsky, 1974; Poutcharovsky, 1975), a number of 14 valence electrons per metal.

Ru<sub>2</sub>Si<sub>3</sub> and Os<sub>2</sub>Si<sub>3</sub> are semiconductors, as expected, with reported band gaps of 0.34 eV (Susz, 1980), and 2.3 eV (Schellenberg, 1988).

In order to estimate  $A'$  for these materials, Hall resistivity, Hall effect, Seebeck coefficient (using thermal diffusivity data and the Debye capacity) measurements have been performed in arc-melted samples of Ru<sub>2</sub>Si<sub>3</sub>. These results are shown in Figure 1 where the solid lines for the Hall and Seebeck analysis using a standard, non-degenerate 2-k temperature independent effective masses and results of the analysis, summarized in Table 1 for p-type materials and  $A' = 0.35$  for n-type materials.

Since studies on single crystals, alloys, and thin films have yet to be performed, such a large value of  $A'$  for arc-melted samples suggests very high  $ZT$  values in this system. Even the value  $A' = 0.35$  derived from these parameters should not be discouraging so early in the development of these materials.

Finally, in the Os-Si system, one more compound has been reported: OsSi<sub>2</sub>, isostructural with  $\beta$ -FeSi<sub>2</sub>, (Schellenberg, 1988). The greater mass of Os in OsSi<sub>2</sub> will have a much lower thermal conductivity. Measurements on high quality samples of OsSi<sub>2</sub> for  $A'$ , effective mass, mobility and thermal conductivity are needed to make it useful.

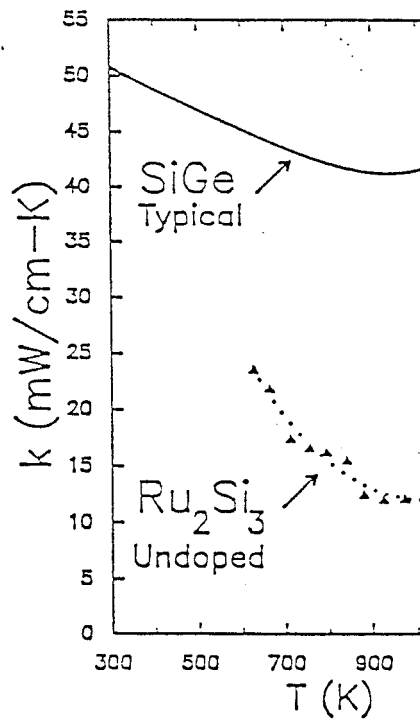
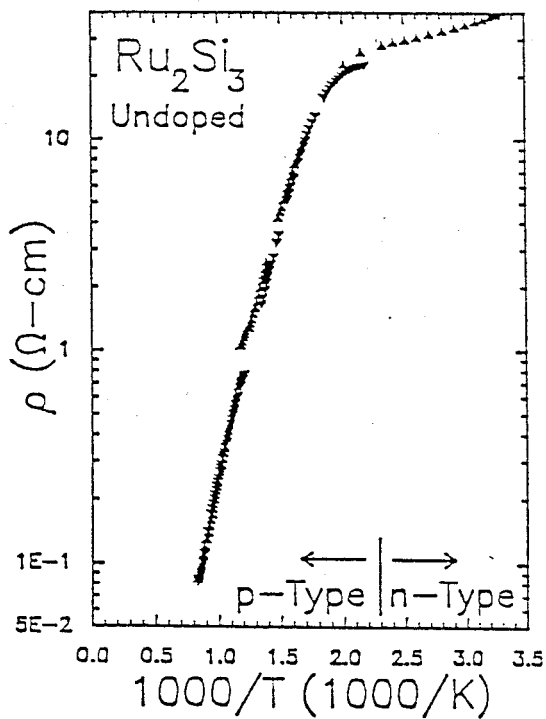
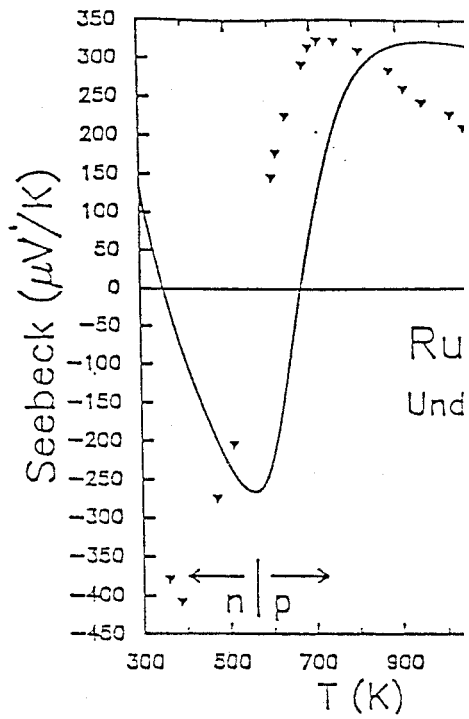
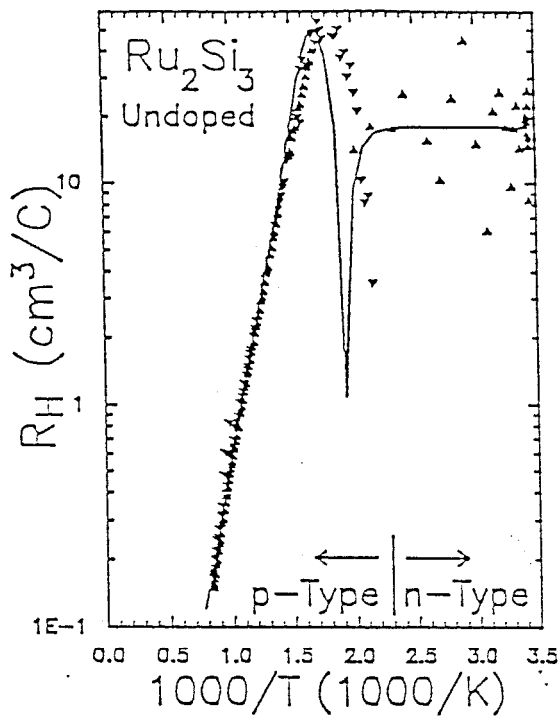


Fig. 1. Hall coefficient, Seebeck coefficient, electrical resistivity and thermal conductivity for  $\text{Ru}_2\text{Si}_3$ . Solid lines represent two-band model for the Hall and Seebeck coefficients.



## Co, Rh, AND Ir SILICIDES

The cobalt silicides, CoSi and CoSi<sub>2</sub>, have too large a concentration (Neshpor, 1960) to be useful as thermoelectrics. Rh<sub>3</sub>Si<sub>4</sub>, Rh<sub>4</sub>Si<sub>5</sub> and their Ir analogs have been reported (Engström, 1968; Engström and Persson, 1968; Engström, 1970). Rh<sub>4</sub>Si<sub>5</sub> are Nowotny chimney-ladder compounds with the "magic" number of 14 valence electrons per metal atom, and may therefore be semi-conductors with interesting thermoelectric properties. Neither the Rh-Si nor Ir-Si phase diagrams are available, however, and no transport properties have been reported on any of these materials.

## Ni, Pd, AND Pt SILICIDES

All of the Ni group silicides are metallic and of little use as thermoelectrics. It is worth noting, however, that alloys of Ni and Pt are important thermocouple materials due to their relatively large Seebeck coefficient values, a result of the d-band character of the electronic structure of these metals.

## SUMMARY

Many silicide semiconductors have been identified, some of which are of considerable interest as thermoelectric materials. Values nearly as large as in SiGe have already been achieved in Mg<sub>2</sub>(Si,Sn) alloys and MnSi<sub>1.7</sub>. Certainly higher ZT values could be achieved with optimum alloying and doping in oriented single crystals. The high mobilities, large effective masses and low thermal conductivity values of MoSi<sub>2</sub>, WSi<sub>2</sub>, CrSi<sub>2</sub> and ReSi<sub>2</sub> make them interesting also, if only they had a substantial band gap and doping levels could be optimized.

A number of Ru, Os, Rh and Ir silicides are known or suspected to be semiconductors with sufficiently large band gaps, although little is known about them to estimate their figure of merit values. The most interesting compounds in this group may be the Nowotny chimney-ladder compounds Ru<sub>2</sub>Si<sub>3</sub>, Os<sub>2</sub>Si<sub>3</sub>, Rh<sub>4</sub>Si<sub>5</sub> and Ir<sub>4</sub>Si<sub>5</sub>, which have the "magic" number of 14 valence electrons per transition metal element. These compounds are structurally related to MnSi<sub>1.7</sub>, but are more refractory and, because of the heavier elements involved, are expected to have lower thermal conductivity values. These observations, combined with the estimate  $A' = 12.6$  for p-type materials reported here, indicate the value of further development of these thermoelectric materials.

Many silicides with the potential for large ZT values have not been closely examined, if at all. In a few important cases (Rh-Si and Ir-Si) even the phase diagrams remain incomplete. Further development of detailed theoretical models for this class of semiconductors, combined with experimental results on high quality samples of a few representative systems such as Ru<sub>2</sub>Si<sub>3</sub>, are

evaluate the potential of this class of materials to exhibit high ZT.

#### ACKNOWLEDGEMENTS

The author would like to thank Dr. R. N. Shelton for providing access to arc-melting facilities and for useful discussions. The work described in this paper was carried out at the Jet Propulsion Laboratory, California Institute of Technology, under contract with the National Aeronautics and Space Administration.

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